




# Food Authenticity & Integrity - Management Day 2

Assuring Food Authenticity & Integrity - Protecting the Food Sector from Threats & Vulnerabilities

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BSc (hons) BSc. Pg Dip (Food Safety) CSci (Food Sci & Tech) FIFST FS Prin (IFST)



**Summary Overview**

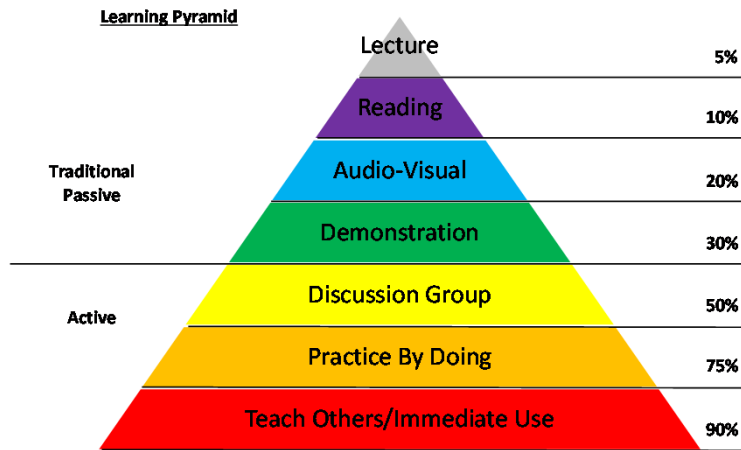
Nature of the Course

- ✓ Practical
- ✓ Links to other learning

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# The Learning Pyramid



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# Course Relationships



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# Perspectives



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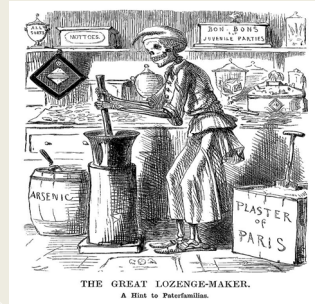
## Perspectives Overview

- Perspectives
- Examples
- Elliot Review
- Definitions & Relationships
- Routine
- Activity Theory
- Capable Guardians

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# Dying for a Sweet? –The ‘Bradford & Punjabi’ Sweet Incidents



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*Pak. J. Biochem. Mol. Biol. 2016; 49(1): 29-35*

Review

## SUDAN DYES AND THEIR POTENTIAL HEALTH EFFECTS

<sup>1\*</sup>Alim-un-Nisa, <sup>2</sup>Naseem Zahra, <sup>3</sup>Yasha Nazir Butt

<sup>1,2</sup> Food and Biotechnology Research Centre (FBRC)

<sup>1</sup>PCSIR Laboratories Complex, Ferozpur Road Lahore-54600, Pakistan

<sup>3</sup>Institute of Biochemistry and Biotechnology, University of the Punjab, Lahore.

**Abstract:** Sudan dyes are synthetic, oil-soluble, red coloured azo dyes which are not permitted by the authorities in Switzerland, Japan, Europe, and the United States for the purpose of food colouring. Sudan dyes I, II, III, IV, and their degradation products are considered harmful to human health due to their teratogenicity, genotoxicity, and carcinogenicity which leads to cancer. Many experimental studies on animal specimen have confirmed the formation of tumour due to the presence of different Sudan dyes in food products. Sudan dyes are described to have sensitising characteristics; they easily get absorbed through dermal route and airways and causes health problems. This paper discusses the harmful effects of Sudan dyes on human health which is now greatly used in foodstuffs.

**Keywords:** Sudan dyes, Illegal, Health effects

Received: January 11, 2016 Accepted: February 15, 2016

\*Author for Correspondence: nissalim64@yahoo.com



**RECALLED**

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## Sudan Dye Incidents

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## Melamine in Milk

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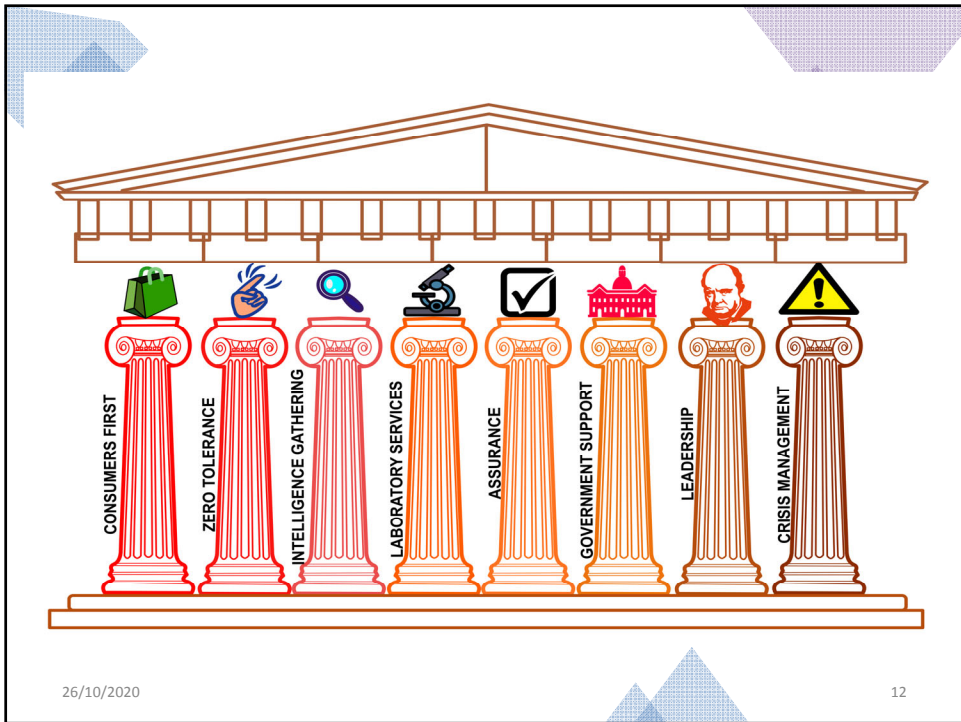
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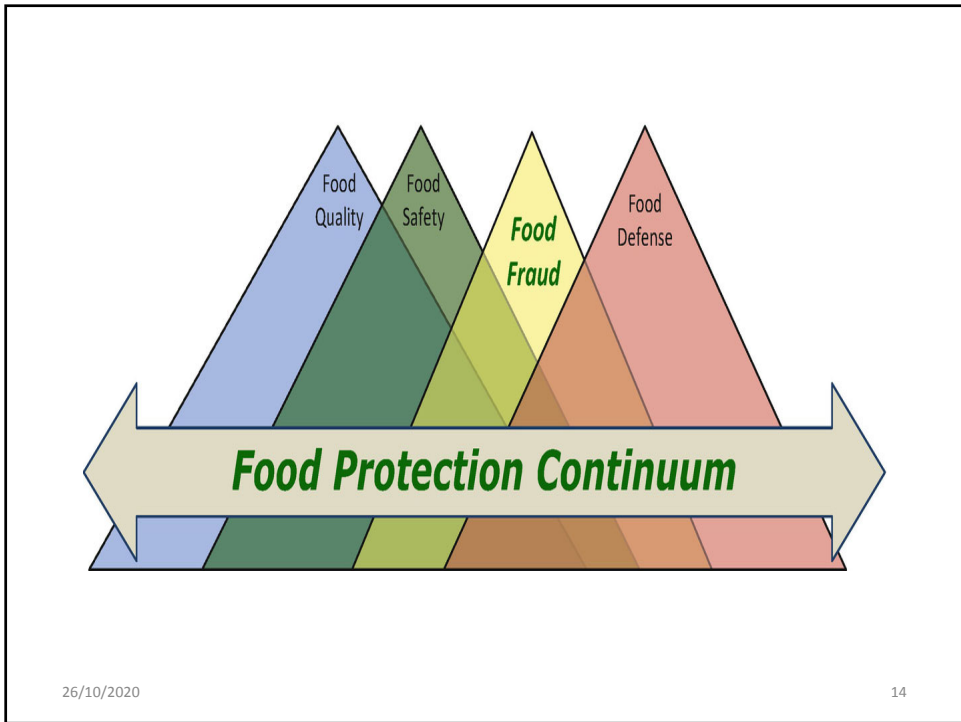
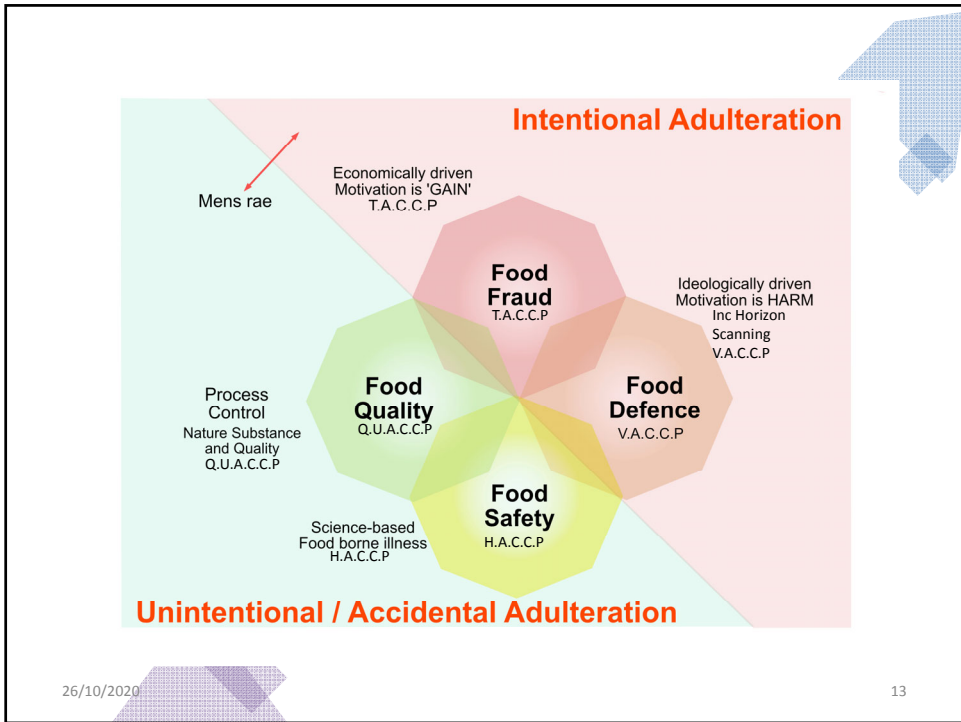


## Operation Tacanna & Scallop Fraud

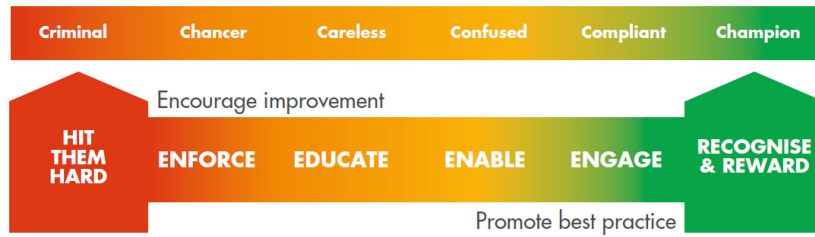
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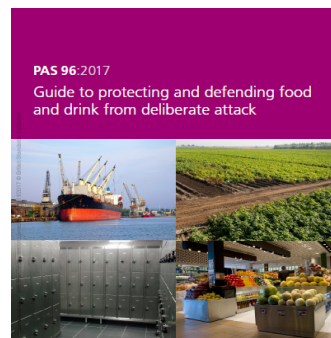
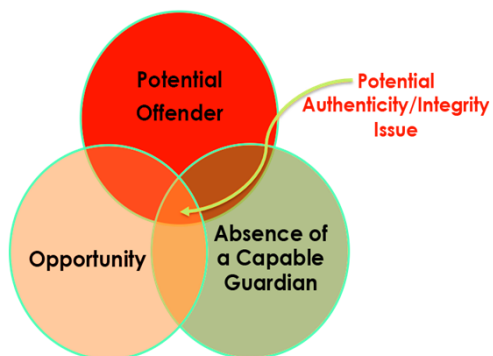
# An Enforcement Spectrum



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# Routine Activity Theory



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Food Standards Agency

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# Capable Guardians

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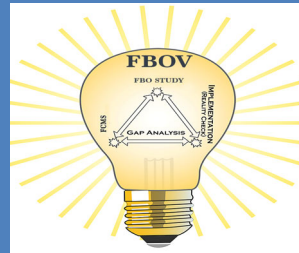
## Perspectives Summary

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# Verification



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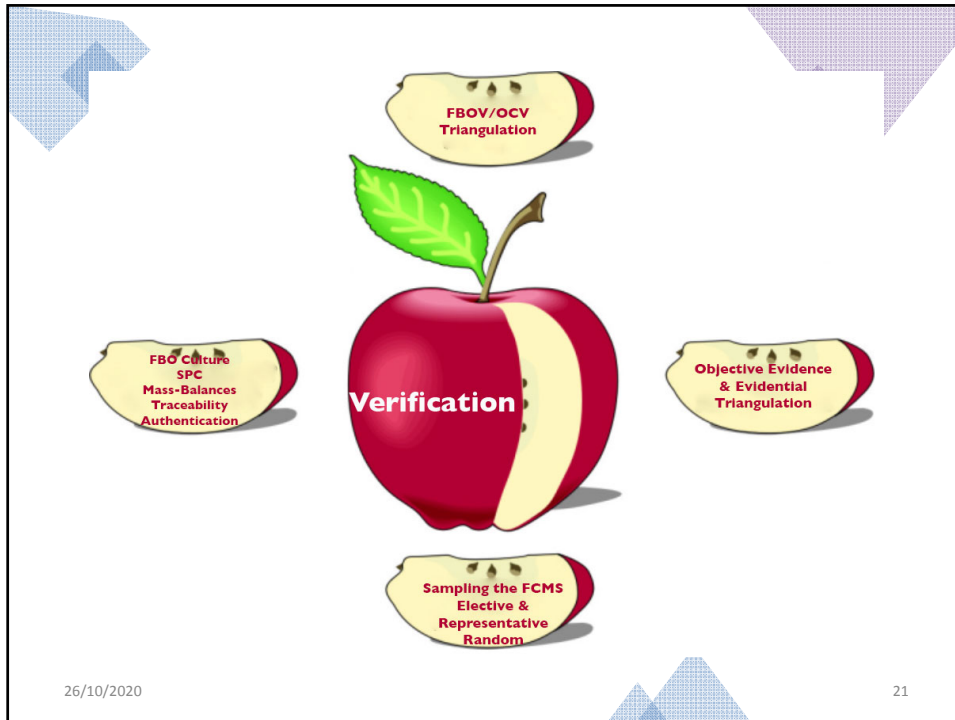
## Verification FBOV & OCV Overview

### FBOV & OCV

- Challenges
- Fundamentals
- Triangulation
- Deducing a claim
- Objective Evidence & Triangulation
- Scope
- Sampling

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**EFFECTIVENESS CHALLENGE**

..FBOVS AND OCVS MUST BE 'EFFECTIVE' (FROM FOOD LAW)- AND THE PURPOSE OF FOOD LAW (AND THEREFORE FBOVS AND OCVS) IS TO ENSURE THE FBO PROTECTS THE CONSUMER IN FOOD AUTHENTICITY, INTEGRITY AND IN SAFETY TERMS...

Sharpie

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## SCIENCE CHALLENGES

REG 178 ESTABLISHED THE  
SCIENTIFIC BASIS OF FOOD LAW  
THEREFORE - EMPIRICISM -  
OBJECTIVE EVIDENCE AND  
MEASUREMENT



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## PROPOSITIONS CHALLENGE

... BY PLACING FOOD ON THE  
MARKET - WE CAN DEDUCE THAT  
THE FBO PROPOSES THAT IT IS  
AUTHENTIC AND IT IS SAFE



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... THE FBO IS PROPOSING:  
1. TO DO THE RIGHT THINGS AND  
2. PROPOSES THAT SHE OR HE  
HAS BEEN DOING THEM



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... BY SIMPLE DEDUCTION  
OUR APPROACH TO  
VERIFICATION CAN THEREFORE BE  
DEDUCED. I.E VERIFY  
'1. DOES THE FBO INTEND TO DO  
THE RIGHT THINGS AND  
2. IS THE FBO DOING THOSE THINGS?'



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## STRUCTURING A VERIFIER'S SOLUTION

... FROM FBO PROPOSITIONS AS A PRIORITY WE CAN DEDUCE 3 CARDINAL POINTS OF REFERENCE ...

★ VERIFIER PROPOSITION

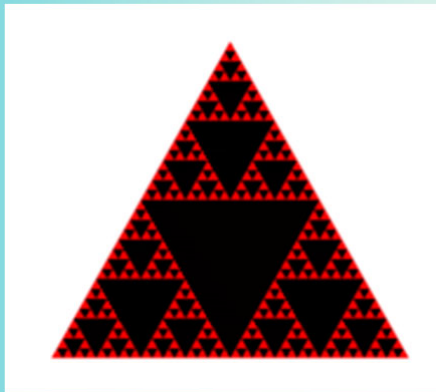
FBO PROPOSITION ★

★ FBO IMPLEMENTATION (REALITY CHECK)

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## REVERSE ENGINEERING A PROPOSITION



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# Exercise – Reverse Engineering the FBO’s Proposition

REVERSE ENGINEERING  
A PROPOSITION

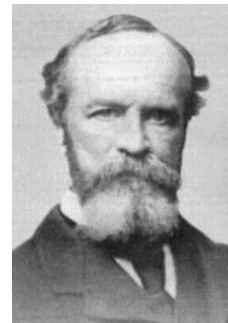
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PA(168)

***“Objective evidence and certitude are doubtless very fine ideals to play with, but where on this moonlit and dream-visited planet are they found?”***

- William James 1842 –1910 (considered to be one of the greatest philosophers of the pragmatic school)



***“Information that can be proved true, based upon facts obtained through observation, measurement test or other means”***

- First defined BS EN 8402/1995  
- Referred Regulation (EC) 178/2004 - but not defined

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**Attributes of Objective Evidence**

- ✓Scientific — Can the data be evaluated by independent observers to reach the same conclusions?
- ✓Scientific — Are the data documented in a manner to allow re-creation of the data or the events described?
- ✓Scientific — Does the documented evidence provide sufficient data to prove what happened, when, by whom, how, and why?
- ✓Legal — Was the documentation completed concurrent with the tasks?
- ✓Legal — Is the documentation attributable?



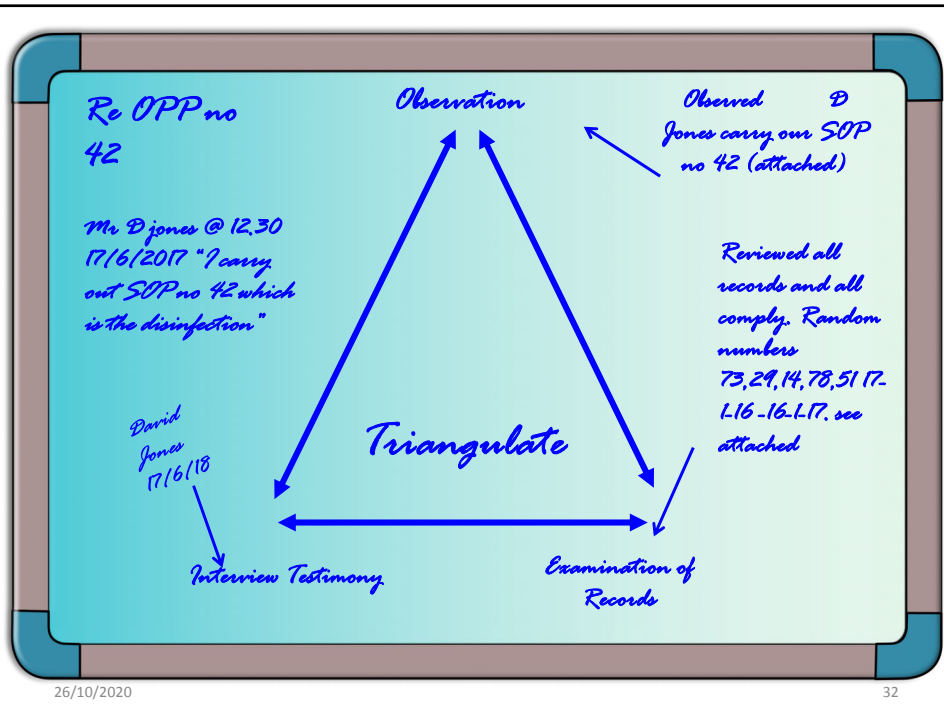
Denise Dion  
USA FDA Office of  
Regulatory Affairs  
Primary Editor of the  
FDA Investigations  
Operations manual



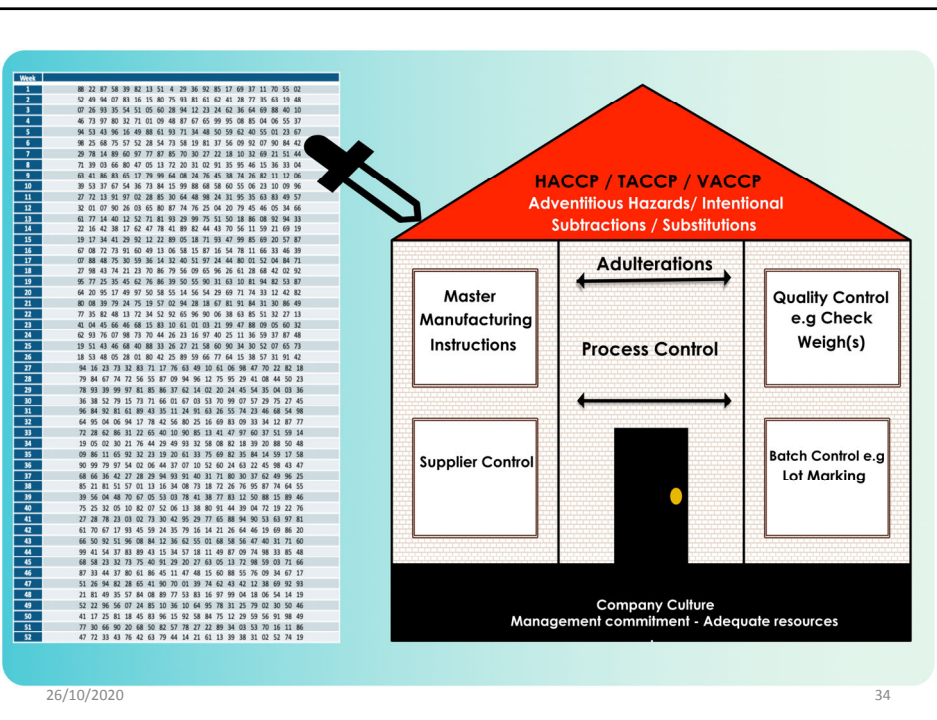
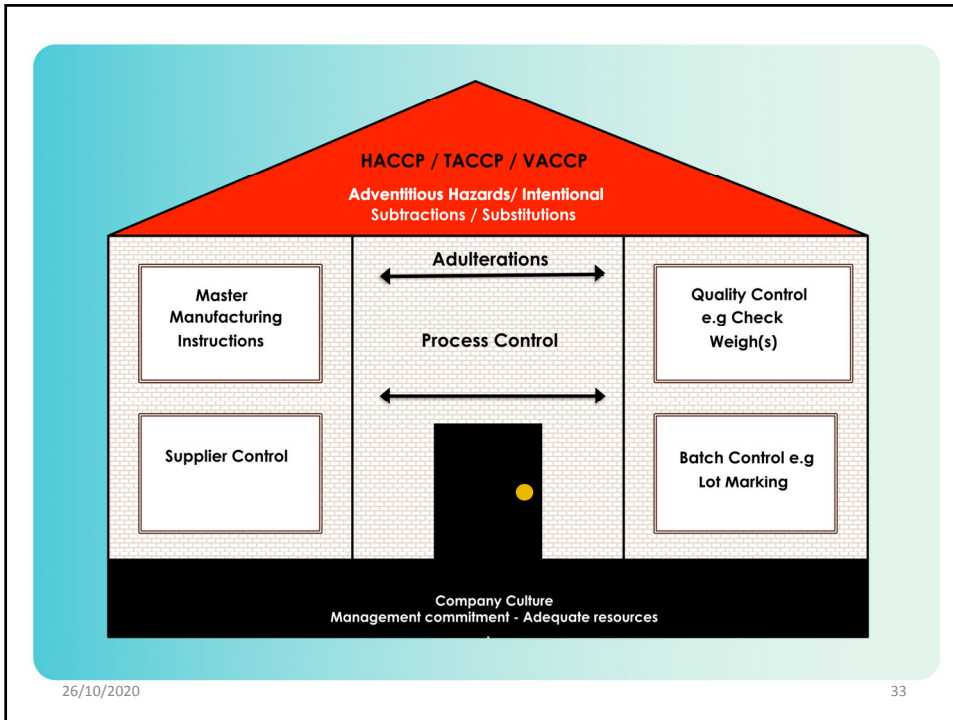
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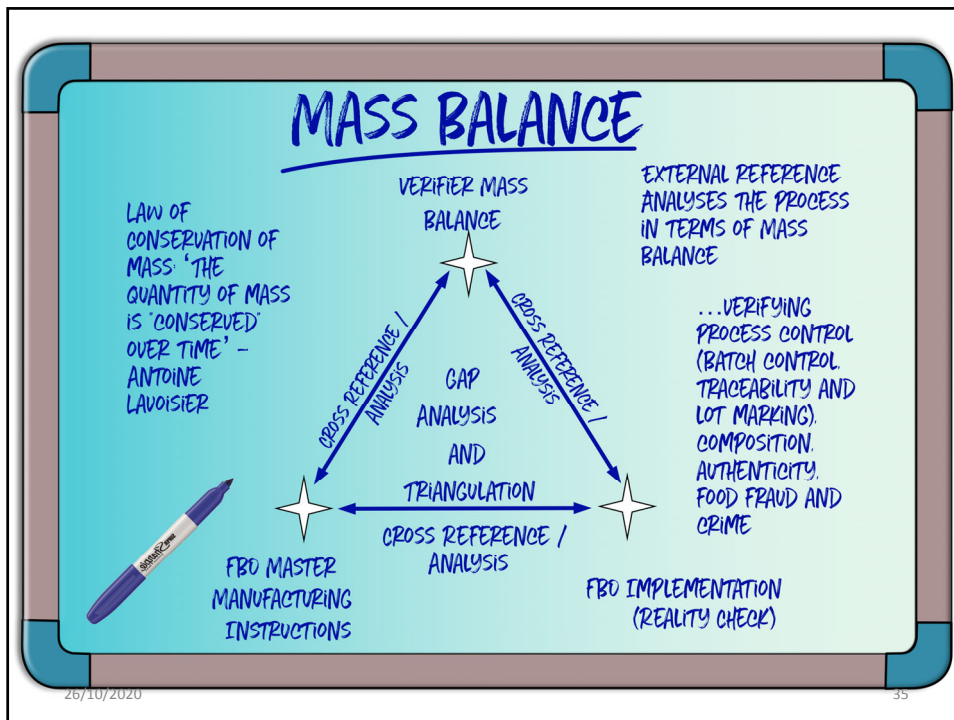


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# EQUATIONS OF MASS BALANCE

$m_{in} = m_{out}$	Steady State Situation' - The most common situation e.g. batch process Where $M_{in}$ and $M_{out}$ are the total mass flow rates entering and leaving the system respectively
$\dot{m}_{in} - \dot{m}_{out} = \frac{dm_{sys}}{dt}$	Continuous Flow Situation' e.g. Milk pasteurisation with 'Just in Time' Distribution. Where $dm_{sys}/dt$ is the rate of mass accumulation (or mass depletion) within a system at a specific point in Kg/s.
$\sum_{i=1}^k \dot{m}_{i\ in} = \sum_{j=1}^n \dot{m}_{j\ out}$	Multi Stream Situation. Where $M_{i\ in}$ and $M_{j\ out}$ are the flow rates of streams 'i' and 'j' entering and leaving the system respectively.
$\sum_{i=1}^k X_i \dot{m}_{i\ in} = \sum_{j=1}^n X_j \dot{m}_{j\ out}$	Chemical Reaction Situation:- Where $X_i$ is the mass fraction of a specific component in the 'entering stream' 'i', and $X_j$ is the mass fraction of the same component in the 'exiting stream' 'j'.

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# Exercise – A mass Balance



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Lucid  
Chart



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## Verification FBOV & OCV Summary



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## Company Culture



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**Company Culture Overview**

- Culture
  - FSA View
  - Iceberg Model
  - Dimensions of Culture
  - Promotion
  - Verifying Culture

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The image displays three book covers. The first is 'Food Safety = Behavior' by Frank Yiannas, published by Springer, with a green and blue cover. The second is 'Food Safety Culture: Creating a Behavior-Based Food Safety Management System' by Frank Yiannas, also published by Springer, with an orange and blue cover. The third is 'Developing and Maintaining a Positive Food Safety Culture' by Chris Griffin, published by Highfield, with a purple cover.

**Sources**

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# FBO Culture

*“Creating a culture in which all staff are both able and confident to report suspicions of wrongdoing is vital. Businesses can do this by ensuring they provide an environment in which staff are able to see the moral as well as the commercial benefits of identifying wrongdoing, whether within or outside of their business. Working with the National Food Crime Unit, whether by sharing fraud concerns or by finding new ways to design out fraud, will make the UK food sector both a safer and a more economically prosperous place, benefiting both businesses and consumers alike”*

- Andy Morling Head of FSA Food Crime Unit 2016

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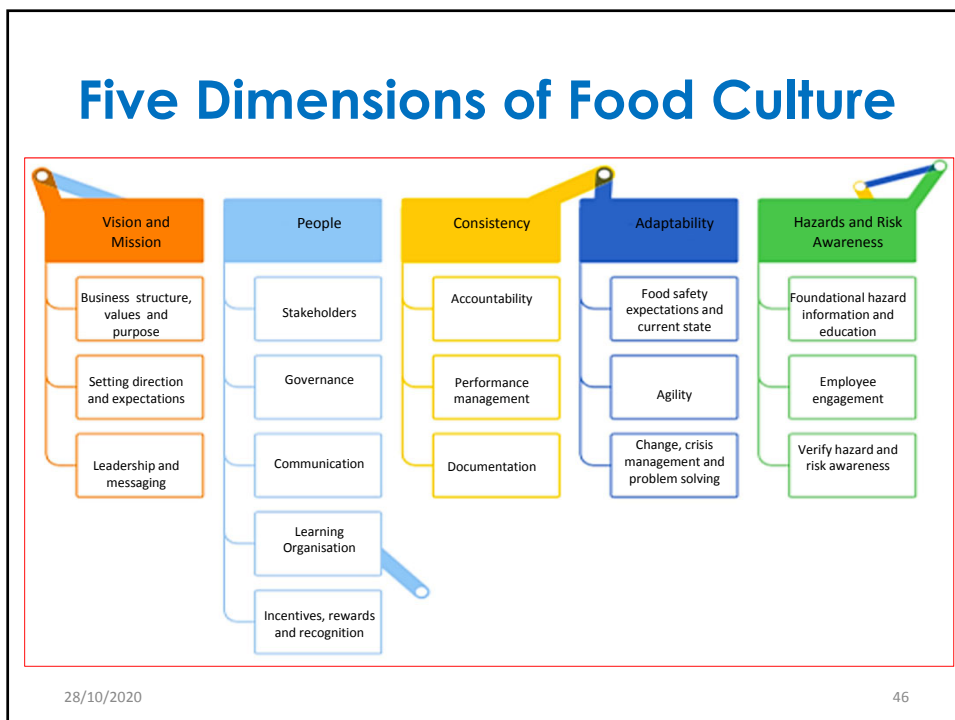
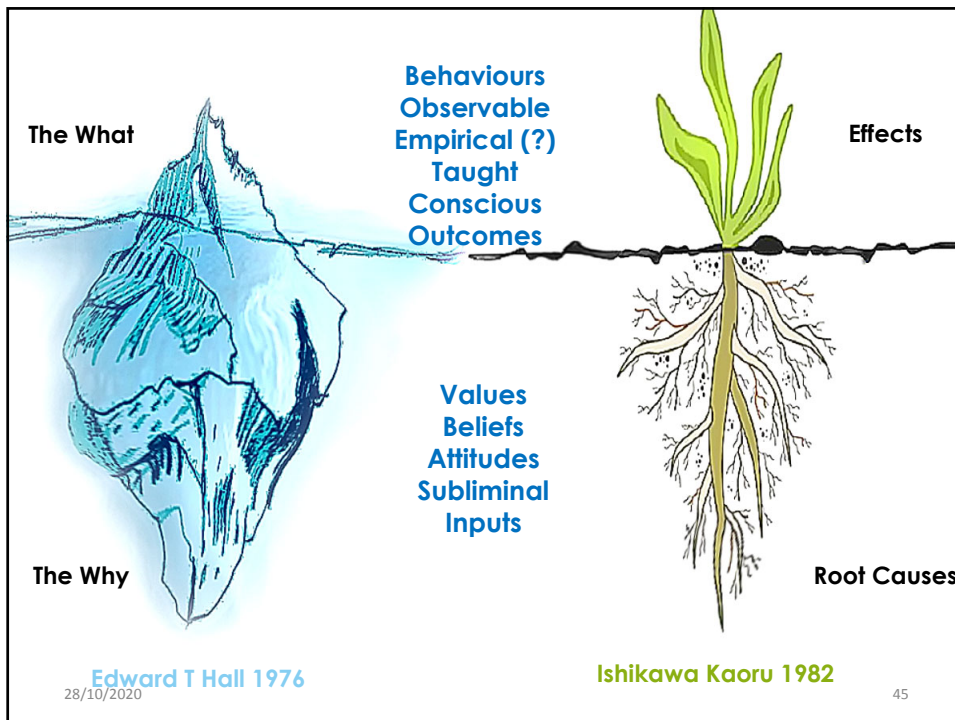


- *“Shared values, beliefs & norms that effect mind-set & behaviour toward Food Safety in, across & throughout an organisation”*  
GFSI 2018

## The Iceberg Model

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# Verifying FBO Culture



## Food safety culture diagnostic toolkit for inspectors

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This is a draft toolkit developed as part of an ongoing research project. The views expressed in this document are those of Greenstreet Berman Ltd and its contractors and not necessarily those of the Food Standards Agency.

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Authors: Michael S Wright, Paul Leach and Gill Palmer.

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July 2012

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# Exercise - Promoting & Verifying FBO Food Culture



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## Company Culture Summary

### Culture

- FSA View
- Iceberg Model
- Dimensions of Culture
- Promotion
- Verifying Culture

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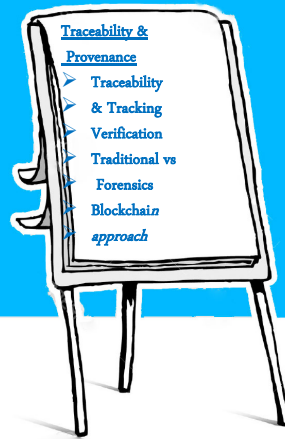
## Traceability & Provenance



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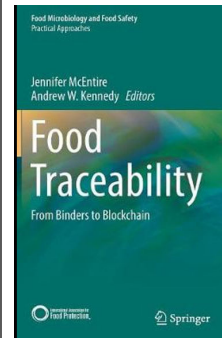
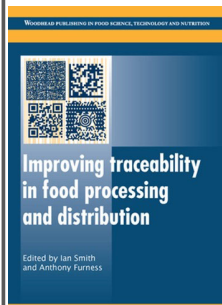
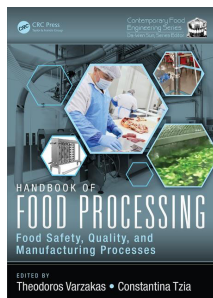
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## Traceability & Provenance Overview



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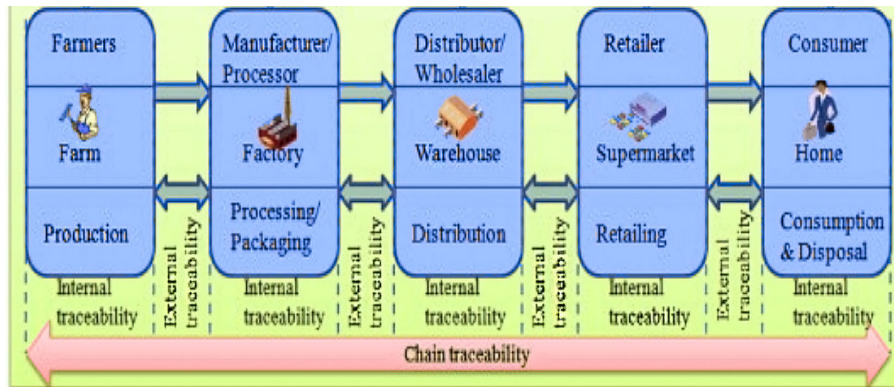


## Sources

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# Traceability

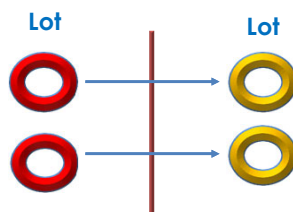


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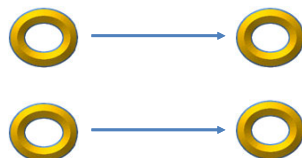
# Transactions

## (a) Receiving Lots



- > Verify the incoming shipment & its information (labels/invoice etc)
- > Cross ref to supplier date & time
- > Record info
- > Where one step back FBO has not implemented traceability verify ID on incoming lot – Follow G (see below)

## (b) In establishment movement where there is no processing



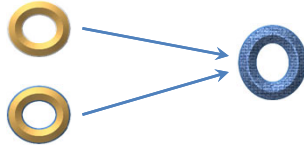
- > Cross ref the lot with label & invoice
- > Record date & time

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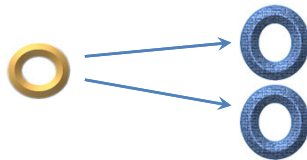
# Transactions

## (c) Combination of a lot



- Verify data re pre-combined lots, refer SOP & record
- Assign new ID to combined lot
- Link data before & after combination & record
- Record info re combination work needed for ID if any (eg date, quantity before & after combination)
- Prepare label & invoice with the new ID & attach.

## (d) Division of a lot



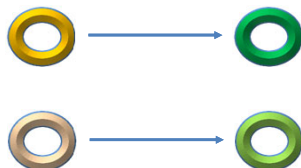
- Verify pre-divided lot data & record
- Assign new lot ID to divided lots
- Record the ID linkages
- Record division data, e.g quantities before & after division, date & time.
- Prepare label & invoice with the new ID & attach

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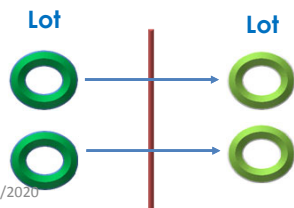
# Transactions

## (e) Processing Not Involving Combination of Lots e.g Heating, freezing drying etc



- Verify pre-processing lots data & record
- Record info re processing work required for ID - If any e.g date & time of processing, quantities before & after processing.
- Prepare label & invoice with ID of processed lot and attach.

## (f) Shipment of a Lot



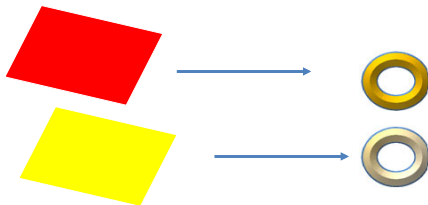
- Verify lot to be shipped & its data. Record
- Cross ref & link ID of shipped lot to buyer date & time. Record.

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# Transactions

(g) Formation of a lot (e.g obtaining from the farm (livestock & marine products) or when receiving no Id products not covered by the FCMS



- Decide on the product lot & assign ID
- For each lot record data required for ID e.g (producer, farm date & time).

(h) Disposal of a lot



- Verify the product lot & its data prior to disposition. Record
- For each lot record the disposal date, time & place.

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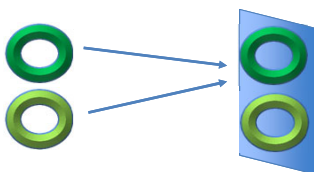
# Transactions

(i) Requirements for In-House IDs



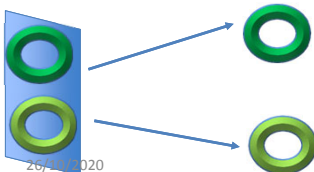
- FBO to set a rule re in-house ID, linked to incoming & out-going lot IDs.

(j) Grouping (Forming) Lots



- Assign a new ID to grouped lot
- Link to product ID before the grouping to after. Record.
- Record info re grouping work if any e.g date, time place.

(k) Dividing Lots (e.g a traceable unit)



- Link to product ID before the division to after. Record.
- Record info re division work if any e.g date, time place

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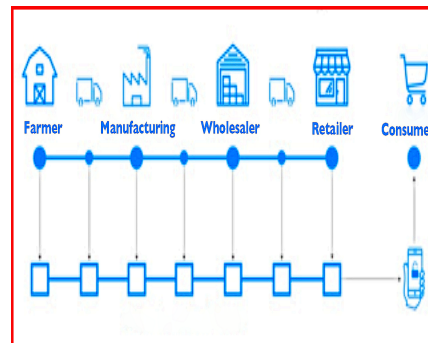
# Traditional vs Forensics

	Traditional	Forensics
<b>Speed</b>	x Can be slow relies on checking data & each point in the supply chain	✓ Fast 1 to 5 days
<b>Accuracy</b>	x Reliant on packaging - Fails when packaging lost or counterfeit	✓ Traces product not packaging ✓ Science & algorithmic methods Can't be counterfeited without detection
<b>Farm to Fork</b>	x Reliant on packaging - Does not reach critical point of consumption	✓ Actual product assayed Trace from consumer to farm
<b>Scientific</b>	x Paper based - Prone to error	✓ Peer reviewed scientific literature ✓ Accepted as evidence in court

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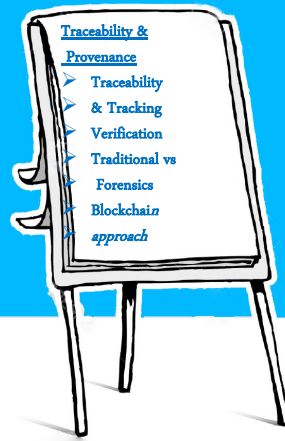
# Blockchain



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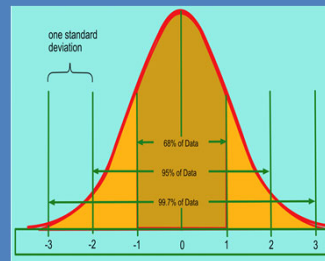
## Traceability & Provenance Summary



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## Process Control



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## Process Control Summary



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Automation & Apps

Process Control

6-Sigma

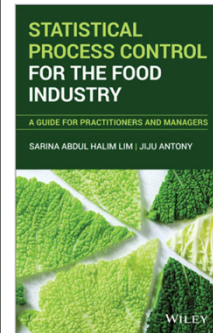
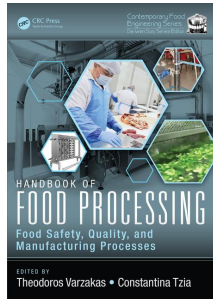
Quantification & Data

Normal Distribution & Data

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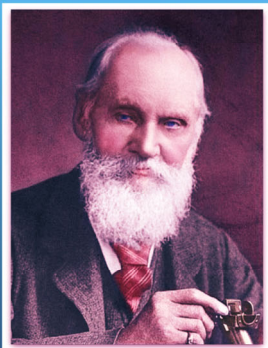
## Sources

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## Quantification

Lord Kelvin on quantification and scientific knowledge

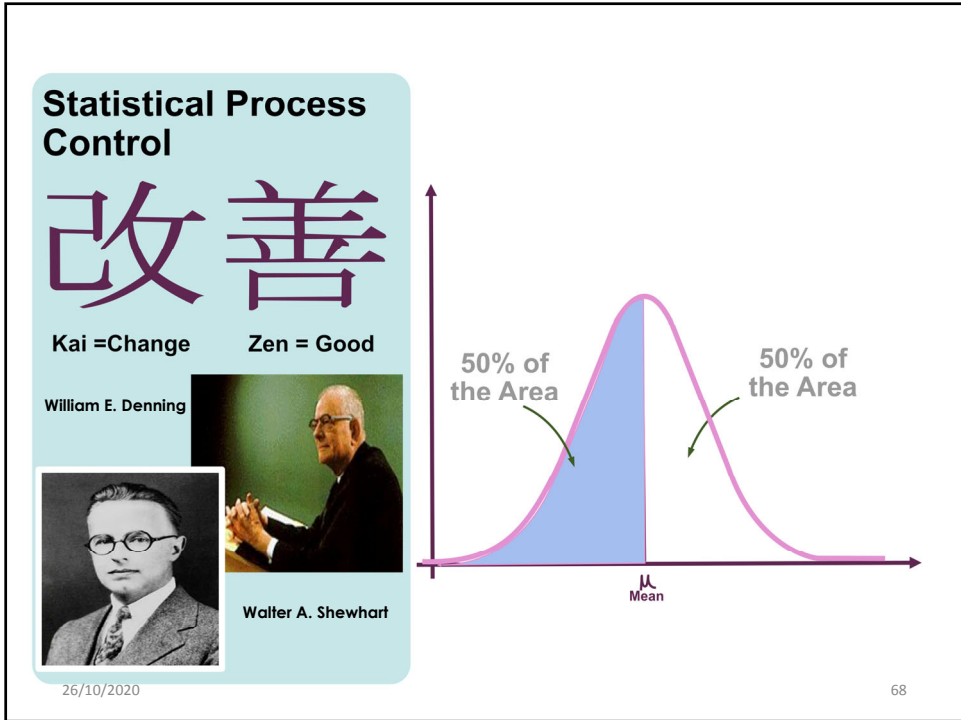
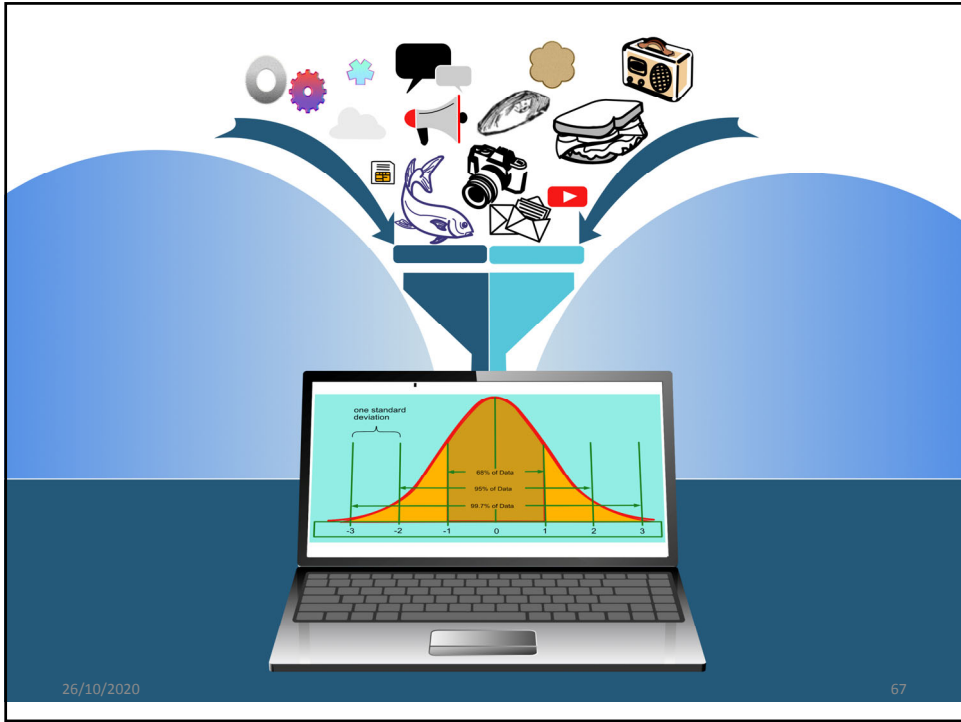


I often say when you can measure what you are speaking about, and express it in numbers, you know something about it, but when you cannot measure it, when you cannot express it in numbers, your knowledge is of a meagre and unsatisfactory kind; it may be the beginning of knowledge, but you have scarcely, in your thoughts, advanced to the stage of science, whatever the matter may be.

*Lecture on "Electrical Units of Measurement" (3rd May 1883) published in Popular Lectures*

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# Common Cause & Special Cause Variation



## Common Cause Variation

- A source of **variation caused** by unknown factors that result in a steady but random distribution of output around the mean/average of the data. **Common cause variation** is a measure of the process's potential, or how well the process can perform when **special cause variation** is removed.



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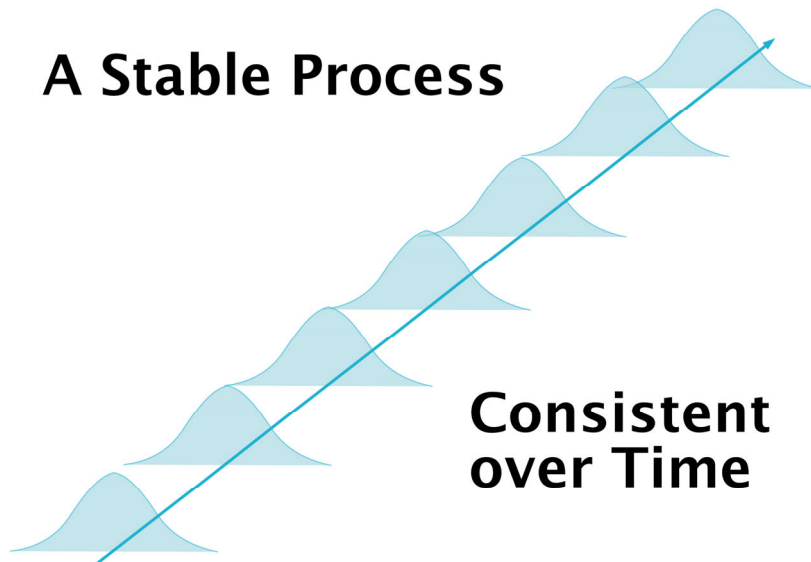
## Special Cause Variation

- **Special cause variability** is a shift in output caused by a specific known factors such as environmental conditions or processing errors. It is insidious but can be accounted for directly and potentially removed. It is a **measure of process control**. Also referred to as "**exceptional**" or "**assignable**" variation



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# A Stable Process

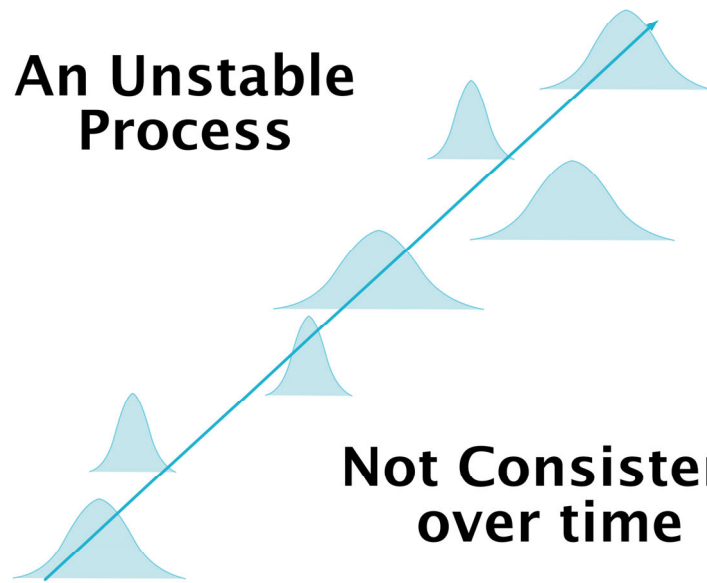


**Consistent  
over Time**

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## An Unstable Process

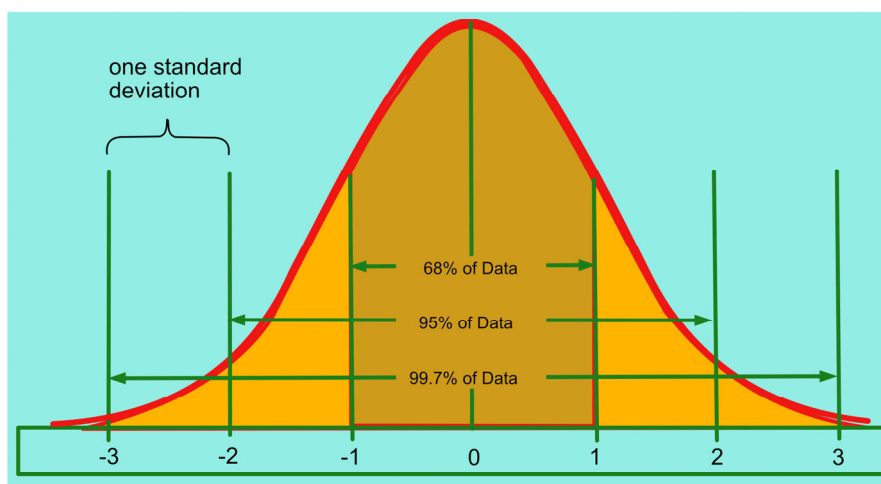


**Not Consistent over time**

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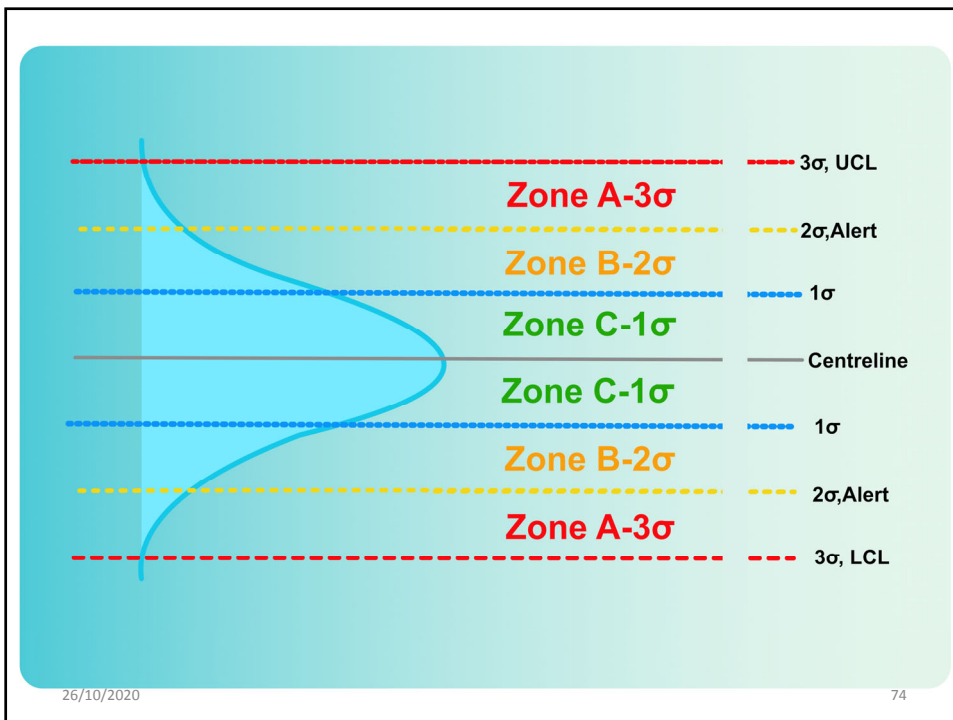
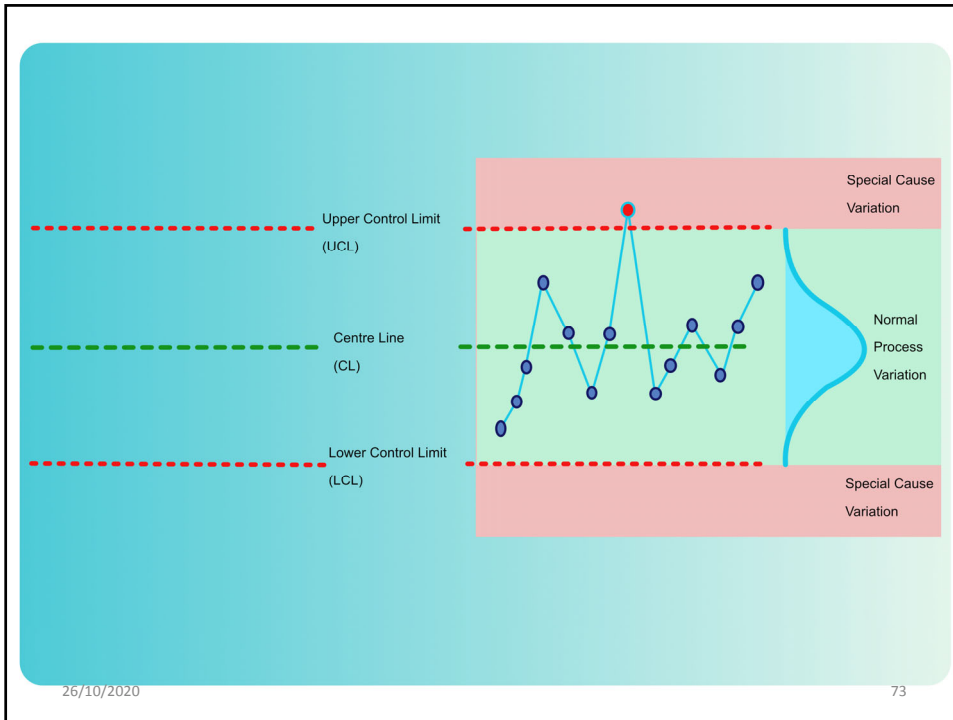
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## The Empirical Rule



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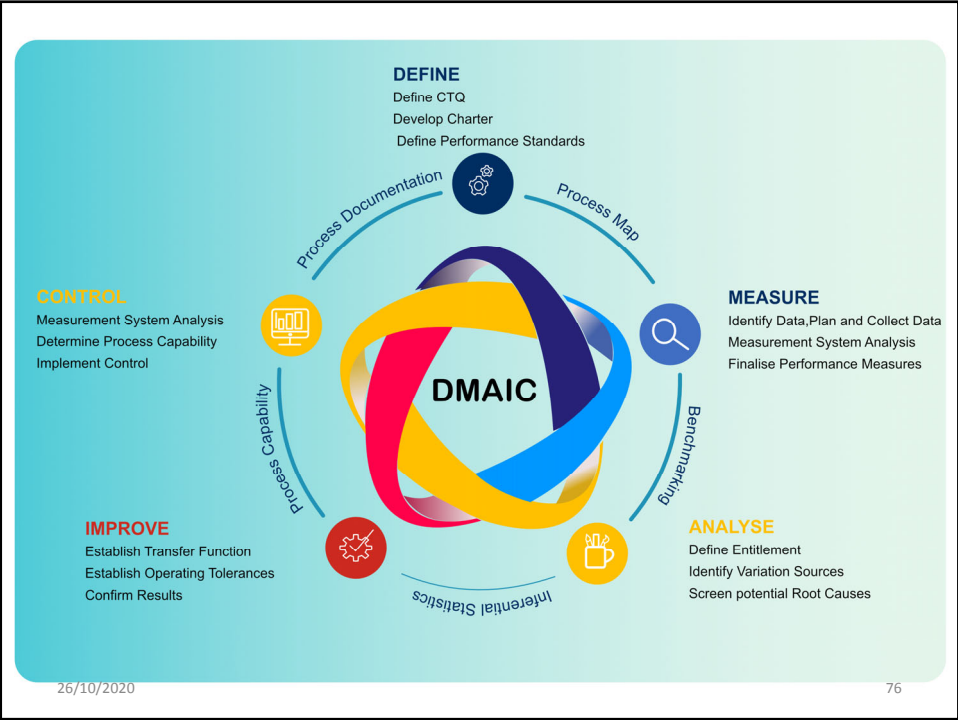


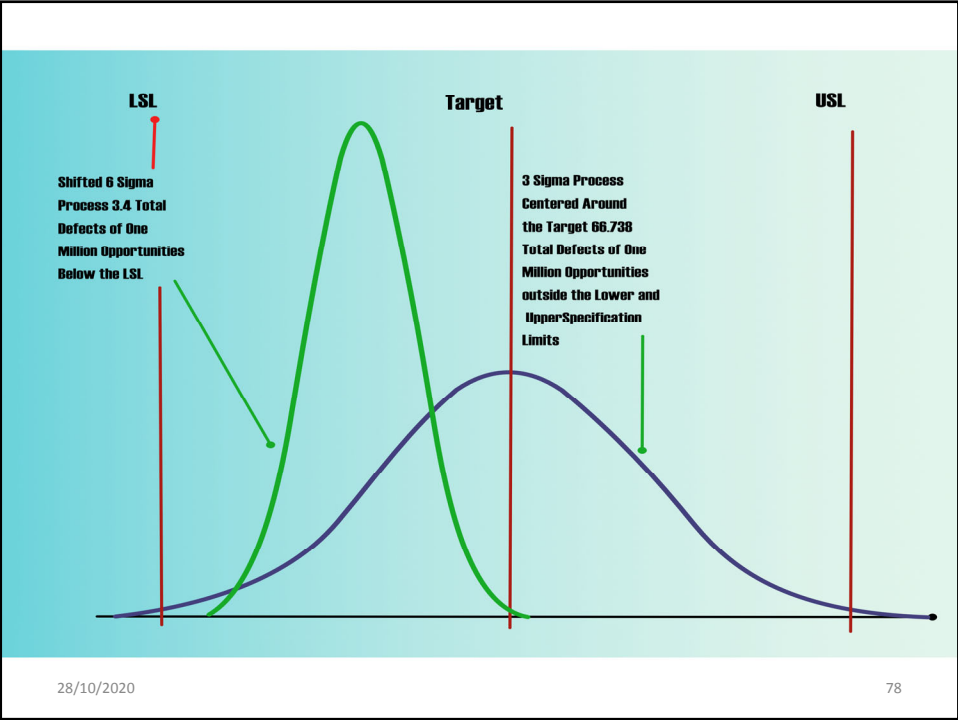
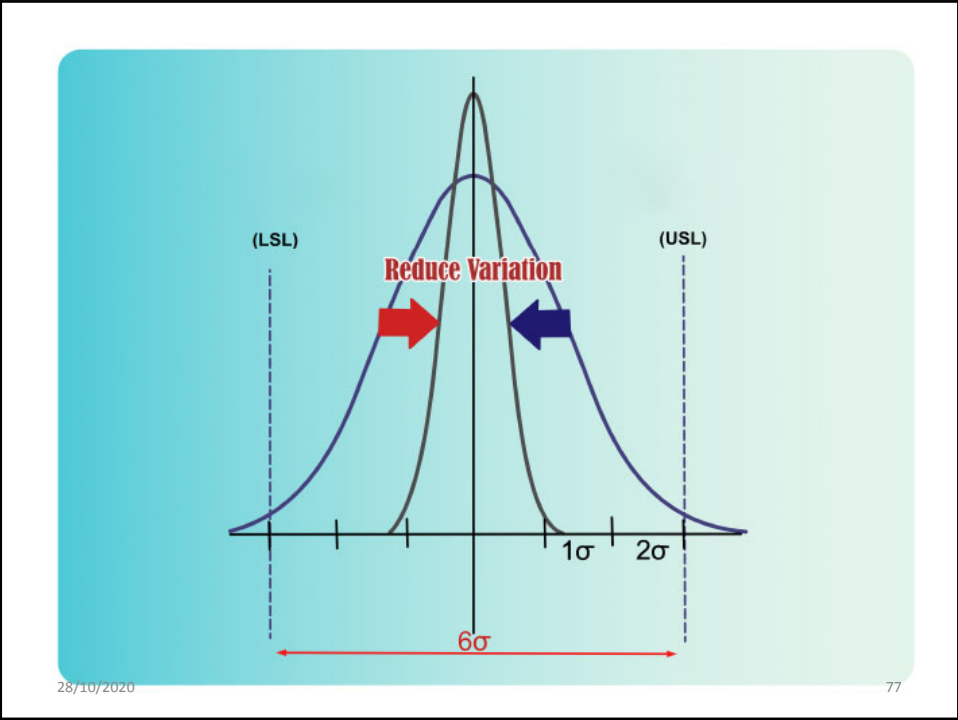
**Bill Smith**

**Jack Welch**

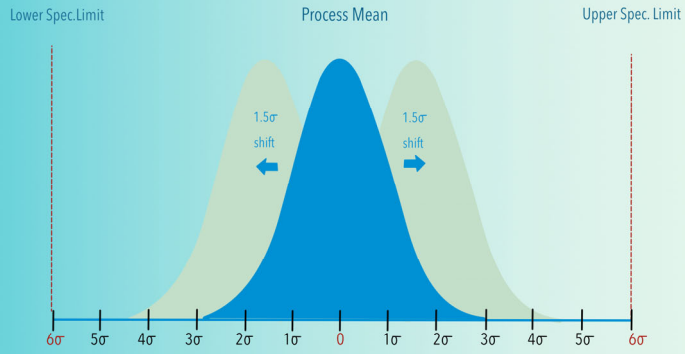
**SIX SIGMA**

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### Six Sigma Statistically Visualised



The term Six Sigma is based on a statistical concept defective items can be minimised by maintaining 6 standard deviations (6 Sigmas) between the process mean (average) and its Upper and lower specifications

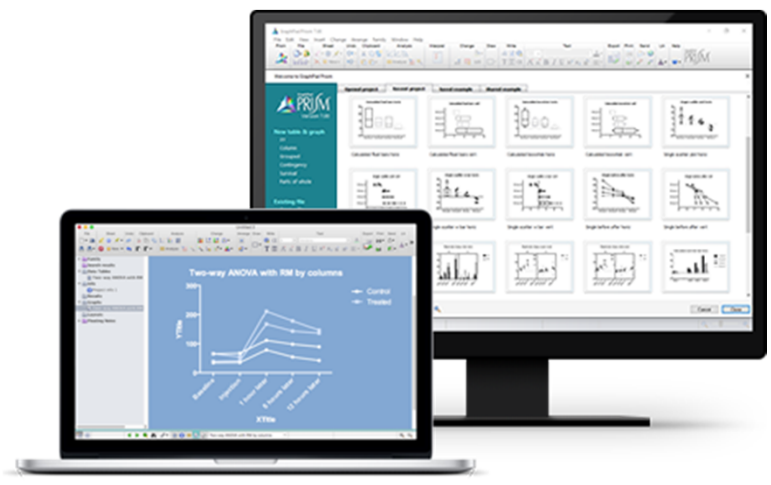
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## Graph Pad

PA(97)

[www.graphpad.com](http://www.graphpad.com)



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## Process Control Summary



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## Authentication



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## Authentication Overview

### Authentication

- > Conceptual divide of approaches
- > Quantification
- > Organoleptic
- > Targeted/Un-Targeted
- > Traditional Methods
- > Fingerprinting
- > MS & IRMS
- > Spectroscopy
- > NMR
- > DNA Analysis

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Conceptual Divide

Fingerprinting  
Un-Targeted

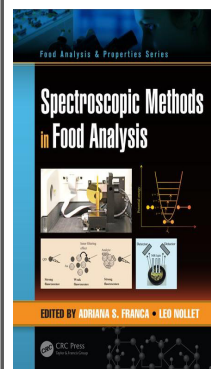
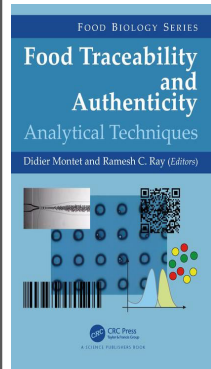
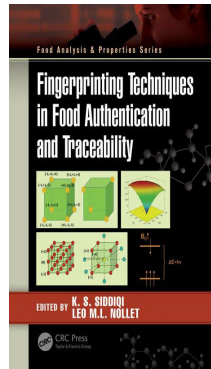
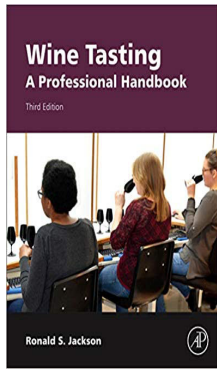
Authentication

Organoleptics

Established/  
Official  
Targeted

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# Sources

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Conceptual Divide

Testing & Assay

Traditional Techniques

Marker Compounds

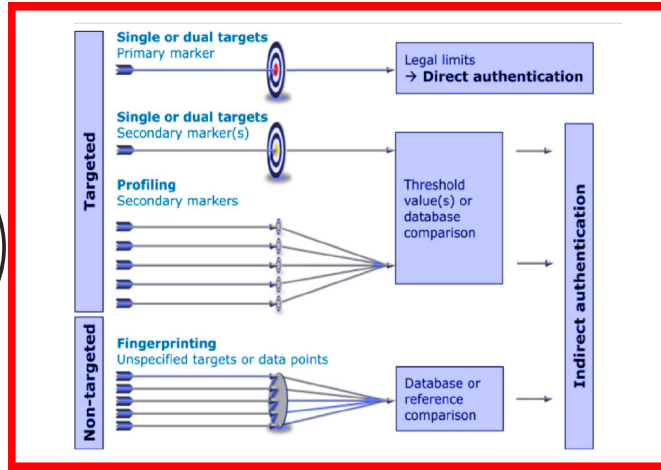
Targeted

Un-targeted

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# Forensics - Targeted vs Un-targeted Analysis



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## Simple Quantification

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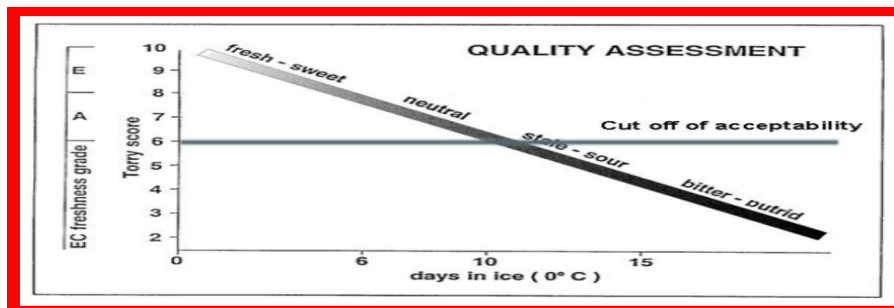
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# Organoleptics

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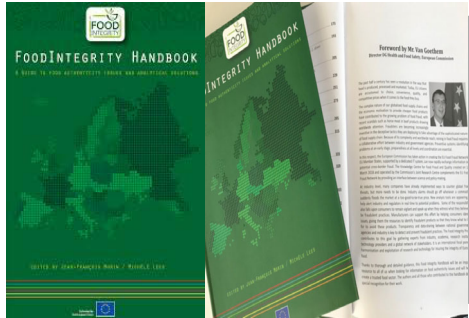


# Organoleptics

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# Targeted Authentication



## 4. Overview of methods for authenticity testing

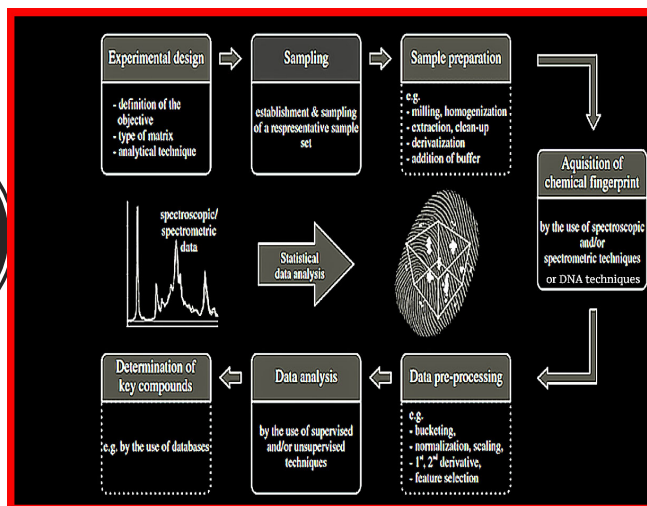
The following tables provide a summary of the official and commonly used methods respectively and the authenticity issues they address.

Analytical technique	Indicative data or analyte	Authenticity issue / information
Multiple PCR	Molecular biomarkers	Species substitution
ORBIT (overnight rapid boronic)	Antibodies and antigens	Species substitution
IDENT (single rapid overnight field identification test)	Antibodies and antigens	Species substitution
Kjeldahl	Nitrogen content	Protein substitution
Automated dye binding	Protein content	Protein substitution
Colorimetric method	Crude protein	Protein substitution
ELISA	Tag proteins	Protein substitution
Gravimetric method	Total fat content	Fat substitution
Colorimetric method	Whey and wheates	Addition of whey and wheates
Spectroscopic method	Whey and wheates	Addition of whey and wheates
Fluorometric method	Total vitamin C	Addition of ascorbic acid
Spectrometric method	Total phosphorus content	Addition of phosphorus and polyphosphates
Thin layer chromatographic separation	Linear and/or branched phosphates	Addition of phosphorus and polyphosphates
Gravimetric method	Total phosphorus content	Addition of phosphorus and polyphosphates
Spectroscopic method	Total phosphorus content	Addition of phosphorus and polyphosphates
Thin layer chromatographic method	Sulphate, water soluble colouring agents	Addition of colouring agents
Titrimetric method	Sulphurous acid (free form)	Addition of sulphur dioxide
Spectroscopic method	Sulphates, sulphites, bisulphites, sulphites	Addition of preservatives
Gravimetric method	Water	Addition of water
Nuclear magnetic resonance	Water	Addition of colouring agents, aroma and preservatives

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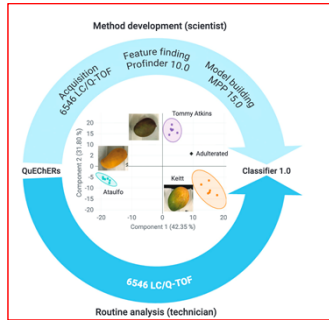
## Fingerprinting



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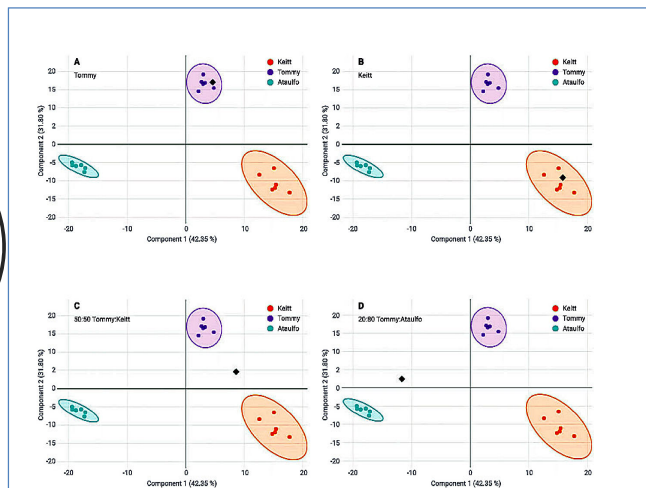
# Mass Spectrometry



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## MS Profile for the Mango Case Study



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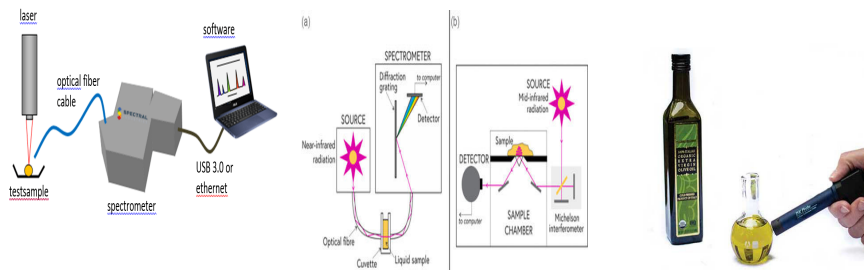
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## Isotope-ratio mass spectrometry (IRMS)

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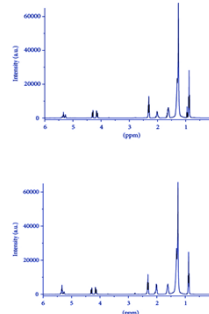
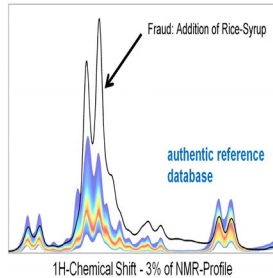


## Spectroscopy

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# Nuclear Magnetic Resonance

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# DNA Analysis

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## Food Authenticity & Integrity - Management Day 2

Assuring Food Authenticity & Integrity - Protecting the Food Sector from Threats & Vulnerabilities

Andrew MacLeod  
BSc (hons) BSc, Pg Dip (Food Safety) CSci (Food Sci & Tech) FIFST FS Prin (IFST)

**seafish**